

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

USDC SDNY
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DATE FILED: 11/16/09

-----X
SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

– against – :

BEAR, STEARNS & CO. INC., :

Defendant. :

-----X
-----X
SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

– against – :

JACK BENJAMIN GRUBMAN, :

Defendant. :

-----X
-----X
SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

– against – :

J.P. MORGAN SECURITIES INC., :

Defendant. :
-----X

Civil Action No.

03 Civ. 2937 (WHP)

Civil Action No.

03 Civ. 2938 (WHP)

Civil Action No.

03 Civ. 2939 (WHP)

-----X
SECURITIES AND EXCHANGE COMMISSION, :
 :
Plaintiff, :
 :
- against - :
 :
LEHMAN BROTHERS, INC., :
 :
Defendant. :
-----X

Civil Action No.
03 Civ. 2940 (WHP)

-----X
SECURITIES AND EXCHANGE COMMISSION, :
 :
Plaintiff, :
 :
- against - :
 :
MERRILL LYNCH, PIERCE, FENNER & :
SMITH INCORPORATED, :
 :
Defendant. :
-----X

Civil Action No.
03 Civ. 2941 (WHP)

-----X
SECURITIES AND EXCHANGE COMMISSION, :
 :
Plaintiff, :
 :
- against - :
 :
U.S. BANCORP PIPER JAFFRAY, INC., :
 :
Defendant. :
-----X

Civil Action No.
03 Civ. 2942 (WHP)

-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
- against - :
:
UBS WARBURG LLC, :
:
Defendant. :
-----X

Civil Action No.
03 Civ. 2943 (WHP)

-----X
-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
- against - :
:
GOLDMAN, SACHS & CO., :
:
Defendant. :
-----X
-----X

Civil Action No.
03 Civ. 2944 (WHP)

-----X
-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
- against - :
:
CITIGROUP GLOBAL MARKETS, INC., F/K/A :
SALOMON SMITH BARNEY INC., :
:
Defendant. :
-----X

Civil Action No.
03 Civ. 2945 (WHP)

-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
-- against -- :
:
CREDIT SUISSE FIRST BOSTON LLC, :
F/K/A CREDIT SUISSE FIRST BOSTON :
CORPORATION, :
:
Defendant. :

Civil Action No.
03 Civ. 2946 (WHP)

-----X
-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
-- against -- :
:
HENRY McKELVEY BLODGET, :
:
Defendant. :

Civil Action No.
03 Civ. 2947 (WHP)

-----X
-----X
:
SECURITIES AND EXCHANGE COMMISSION, :
:
Plaintiff, :
:
-- against -- :
:
MORGAN STANLEY & CO. INCORPORATED, :
:
Defendant. :

Civil Action No.
03 Civ. 2948 (WHP)

-----X

SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

– against – :

DEUTSCHE BANK SECURITIES INC., :

Defendant. :

-----X

-----X

SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

– against – :

THOMAS WEISEL PARTNERS LLC, :

Defendant. :

-----X

Civil Action No.

04 Civ. 6909 (WHP)

Civil Action No.

04 Civ. 6910 (WHP)

ORDER

WILLIAM H. PAULEY III, District Judge:

The Court has reviewed the parties' letter submission of August 3, 2009 requesting further amendment to Addendum A to the Final Judgment in these matters. The Court requires clarification from the parties on how §I.10.a, if revised as proposed, will be enforced. The parties are directed to submit a letter to the Court on this point by November 30, 2009.

Dated: November 16, 2009
New York, New York


WILLIAM H. PAULEY III
UNITED STATES DISTRICT JUDGE